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	DESIGNATED/ELECT	TO THE UNITED STATES ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)					
	CONCERNING A FILIN	NG UNDER 35 U.S.C. 371						
	TERNATIONAL APPLICATION NO. PCT/JP96/00574	INTERNATIONAL FILING DATE March 8, 1996	PRIORITY DATE CLAIMED March 10, 1995					
T	LE OF INVENTION A DNA CHAIN USEFUL FOR INCR	EASING PRODUCTION OF CAROTE	NOIDS					
PΡ	PLICANT(S) FOR DO/EO/US							
	Susumu KAJIWARA, Norihiko MIS		O/US) the following items and other information					
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	☐ This is a FIRST submission of item ☐ This is a SECOND or SUBSEOUE	ns concerning a filing under 35 U.S.C.: ENT submission of items concerning a f	iling under 35 U.S.C. 371.					
	This average request to begin nation	nal examination procedures (35 H.S.C.	371(f)) at any time rather than delay					
	examination until the expiration of  A proper Demand for International	the applicable time limit set in 35 U.S.C. Preliminary Examination was made by	C. 371(b) and PCT Articles 22 and 39(1). the 19th month from the earliest claimed priori					
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	b. A has been transmitted by the Ir		iving Office (RO/US)					
		<ul> <li>c. □ is not required, as the application was filed in the United States Receiving Office (RO/US)</li> <li>☑ A translation of the International Application into English (35 U.S.C. 371 (c)(2)).</li> </ul>						
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# Rec'd POTATA 12 NOV 1996

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 081356/011

In re patent application of

Susumu KAJIWARA et al. Serial No. Unassigned

Filed: November 12, 1996

For: A DNA CHAIN USEFUL FOR INCREASING PRODUCTION OF

#### PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir.

Prior to examination of the above-identified application, Applicants respectfully request that the following amendments be entered into the application:

#### IN THE SPECIFICATION:

Please amend the specification as follows:

Page 17, line 12, delete "/m2s" and insert --/m2S--;

line 18,

--/m2S--; line 25. delete "OD600"

delete "/m2s"

and

insert

and insert

--ODen--.

Page 18, line 24, delete "/m2s" and --/m2S--;

line 28, delete "/m2s" and insert

--/m2S--.

Page 19, line 23, delete "SuperscriptTM" and insert --Superscript™--.

Attorney Docket No. Unassigned

Page 25, line 14, delete "Tris-C1(pH 8)" and insert --Tris-HC1(pH 8)--;

line 17, delete "Tris-C1(pH 8)" and insert --Tris-HC1(pH 8)--.

#### IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 2, delete "one of claim 1 or 2" and insert --claim 1--.

Please add the following new claim:

characterized by introducing DNA chain described in claim 2 into carotenoid-producing microorganisms, culturing said transformed microorganism and obtaining higher carotenoid content in the culture broth and cells.--

Attorney Docket No. Unassigned

#### REMARKS

The Examiner is respectfully requested to enter the above amendments prior to examination of the instant application. The amendments are made to correct clerical and grammatical errors and do not to change the scope of the invention.

Applicants respectfully request that the foregoing amendments to Claim 3 and new Claim 5 be entered in order to avoid this application incurring a surcharge for the presence of one or more multiple dependent claims.

Respectfully submitted,

November 12, 1996

Tel:

Stephen A. Bent

Registration No. 29,768

FOLEY & LARDNER 3000 K Street, N.W. Suite 500 Washington, D.C. 20007-5109 (202) 672-5300

### **PCT**

#### 国際事務局

# 特許協力条約に基づいて公開された国際出願

番号 W096/28545
1996年9月19日(19.09.96)
欧州特許(AT, BE, CH, DE, DK, ES, FI, FR, GB, U, MC, NL, PT, SE).  国際調査報告書 に規則13の2に基づいて提出された微生物の書託に関 よる受理の日付: 1996年3月22日(22.03.96)

(54) Tide : DNA STRAND USEFUL IN INCREASING CAROTENOID YIELD

(54) 発明の名称 カロチノイド生産量の増量に有用なDNA鎖

#### (57) Abstract

A DNA strand having a characteristic of increasing the yield of carotenoid and containing a base sequence which encodes a polypeptide substantially having an amino acid sequence represented by SEQ ID NO: 1 or SEQ ID NO: 2 a DNA strand hybridizable with the above DNA strand; and a process for producing carotenoid which comprises introducing the above-mentioned DNA strand into a carotenoid-producing microorganism and incubating the obtained transformant in a medium to thereby increase the carotenoid content in the culture. This process makes it possible to significantly increase the yield of carotenoid in the microbial biosynthesis of the same.

DOUTHAL DAMANAD



# 08/737319 Rec'd PCT/PTO 12 NOV 1996

A DNA chain useful for increasing production of carotenoids

#### FIELD OF THE INVENTION

The present invention relates to a DNA chain which provides higher carotenoid content during biosynthesis of carotenoid and a method for producing carotenoids characterized by introducing said DNA chain into carotenoid producing microorganism to express said chain and to obtain higher carotenoid content.

### BACK GROUND OF THE INVENTION

Carotenoid is a general name of a kind of natural pigments. Generally, carotenoids have 40 carbon atoms and consists of isoprene skeletons, and Carotenoids are abundant in the natural world. Approximately 600 kinds of carotenoids have been isolated and identified up to the present [(see Key to carotenoids. Basel-Boston, Birkhauser, 1987(Pfander, H. ed.)]. Carotenoids are synthesized through the isoprenoid biosynthetic pathway, a part of which is common to the pathways for steroids and other terpenoids. Passing through the isoprene common biosynthetic pathway, hydroxymethylglutaryl-CoA(HMG-CoA) is converted to isopentenyl pyrophosphate(IPP), which has 5 carbon atoms, via mevalonate. Then IPP is converted to dimethylallyl pyrophosphate(DMAPP) by isomerization. Then, by polycondensation with IPP which has 5 carbon atoms, DMAPP is converted sequentially to geranyl pyrophosphate(GPP which has 10 carbon atoms), farnesyl pyrophosphate(FPP which has 15 carbon atoms), geranylgeranyl pyrophosphate(GGPP which has 20 carbon atoms) and so forth (Figure 1).

The carotenoid biosynthetic pathway is branched from the isoprene common pathway at the point of GGPP is formed. At the point, two molecules of GGPP are condensed to synthesize phytoene which is the first carotenoid and colorless. Then, phytoene is converted to lycopene by desaturation reaction. Then, lycopene is converted to  $\beta$ -carotene by cyclization. Various xanthophylls such as zeaxanthin and astaxanthin are synthesized by introducing hydroxyl groups or keto groups to  $\beta$ -carotene.

Recently, the inventors of the present invention cloned the carotenoid biosynthesis genes derived from Erwinia uredovora, which is a non-photosynthetic epiphytic bacterium in Escherichia coli by using yellowish color of Er. uredovora as markers and elucidated the functions of the genes. Then, various combinations of these genes are introduced to express, and it made possible that microorganisms such as  $\underline{\mathtt{E.}}$  coli and yeast produce phytoene, lycopene, β-carotene, zeaxanthin and so forth(See Figure 2): [See Misawa, N., Nakagawa, M., Kobayashi, K., Yamano, S., Izawa, Y., Nakamura, K. and Harashima, K., "Elucidation of the Erwinia uredovora carotenoid biosynthetic pathway by functional analysis of gene products expressed in Escherichia coli", J. Bacteriol., 172: 6704-6712 (1990); Misawa. N., Yamano, S., and Ikenaga, H., "Production of  $\beta$ -carotene in Zymomonas mobilis and Agrobacterium tumefaciens by introduction of the biosynthesis genes from Erwinia uredovora", Appl. Environ. Microbiol., 57: 1847-1849 (1991); Yamano, S., Ishii, T., Nakagawa, M., Ikenaga, H., and Misawa, N., "Metabolic engineering for production of  $\beta$ -carotene and lycopene in Saccharomyces cerevisiae", Biosci. Biotech. Biochem., 58: 1112-1114 (1994) and Japanese Patent Application laid-open No. HEI 3-58786(Japanese

Patent Application filing No. HEI 2-53255): "A DNA chain useful for synthesis of carotenoids" by the inventors of the present invention]. With the carotenoid biosynthesis genes from Er. uredovora, carotenoids can be synthesized from FPP. Since FPP is the common substrate not only for carotenoids but also for steroids and other terpenoids, bacteria incapable of synthesizing carotenoids also have FPP. Accordingly, for example, when four crt genes, crtE, crtB, crtI and crtY, which are necessary for biosynthesis of  $\beta$ -carotene from FPP are introduced in microorganisms, the microorganism becomes capable of producing βcarotene (See Figure 2). Furthermore, by the same procedures as mentioned above, the inventors cloned the carotenoid biosynthesis genes derived from a marine bacterium, Agrobacterium aurantiacum in E. coli. By expressing various combinations of the genes from the bacterium and those from the above-mentioned Er. uredovora, it made possible that the microorganisms such as E. coli produce astaxanthin, canthaxanthin and so forth (See Figure 3): (Norihiko Misawa et al., "Elucidation of an astaxanthin biosynthetic pathway at the level of the biosynthesis genes", Abstract of the 36th Symposium on the chemistry of natural products: 175-180 (1994)). Among the above carotenoids, astaxanthin, zeaxanthin and  $\beta$ -carotene are already in practical use and are regarded as promising substances. They are used for food or feed additives as red or yellow natural coloring agents or as nutritional aid having cancer prophylactic activity, immunopotentiating activity or provitamin A activity. Accordingly, when the carotenoid biosynthesis genes obtained by the inventors is used as exogenous genes for transforming microorganisms such as E. coli to express, it gave microorganisms such as E. coli the capability of

biosynthesis for producing useful carotenoids. Up to now, it is the only way to improve production of useful carotenoids was to find out microorganism which can synthesize sufficient amount of a targeted carotenoid, and to try to increase its production by investigating culture conditions or mutation treatment. Owing to the studies done by the inventors, it became possible to choose host microorganism which is cultured easily and proliferates rapidly, and is guaranteed to be safe for food regardless of its carotenoid producing capability. As a matter of course, it is also possible to use microorganisms which can synthesize sufficient amount of useful carotenoids originally. In such a case, by transforming the microorganisms with carotenoid biosynthesis genes, it became possible to obtain higher carotenoid production or to alter final carotenoid products. For example, when both crtW and crtZ genes from Ag. aurantiacum were introduced into a microorganism capable of producing  $\beta$ -carotene as a final product to express them, the microorganism was transformed to another one which produce astaxanthin as a final product.

On the other hand, both astaxanthin and  $\beta$ -carotene can also be synthesized by organic synthesis methods. In these cases, considering these carotenoids are used for feed or food additives, there is problems that by-products are also produced and such synthetic products are not preferred by consumers because they prefer natural products. However, carotenoids produced by the conventional fermentation methods could not compete with those by the organic synthesis methods in price. As mentioned earlier, when the above mentioned carotenoid biosynthesis genes are used, it improves the fermentation

methods, thereby it is considered that the carotenoid produced by the fermentation methods will be able to compete with those by the organic synthesis methods in price. If the microorganism can accumulate enough amount of carotenoid in itself, the carotenoid produced by the microorganisms will succeed in such price competition. Therefore, a technology to obtain higher carotenoid content by using microorganisms has been longed for.

Until now, in order to obtain higher carotenoid production in its biosynthesis; the traditional random mutation method is only employed to select mutant strains having higher carotenoid content with mutagenic agent such as NTG. However, this method requires huge amount of time and labor of technicians. In addition, even if enhancement of carotenoid synthesis is successfully achieved, the method requires both huge amount of time and effort to inhibit decreasing of carotenoid content caused by frequent reverse mutations naturally happens because the method lacks its theoretical basis.

#### SUMMARY OF THE INVENTION

The object of the present invention is to increase amount of carotenoids biosynthetically produced by microorganisms.

To solve the above problem, the inventors have investigated the problem thoroughly and developed a novel technology which provides several times higher carotenoid production amount by introducing a DNA chain containing only one gene into a carotenoid producing microorganism to express the gene in them.

More specifically, the inventors of the present invention found the followings and completed the present invention. When a DNA chain containing a gene substantially encoding an amino acid

sequence of IPP isomerase which converts IPP into DMAPP, is introduced in microorganisms such as  $\underline{E}$ .  $\underline{\operatorname{coli}}$  having carotenoid synthesis gene derived from  $\underline{\operatorname{Er.}}$   $\underline{\operatorname{uredovora}}$  and so forth, content of carotenoid in cells such as lycopene and  $\beta$ -carotene becomes 1.5-4.5 times higher than that in control cells can be achieved. The gene substantially encoding IPP isomerase amino acid sequence which converts IPP into DMAPP was obtained from the astaxanthin producing microorganisms such as  $\underline{\operatorname{Phaffia}}$   $\underline{\operatorname{rhodozyma}}$  and  $\underline{\operatorname{Haematococcus}}$  pluvialis.

The characteristics of the DNA chain of the present invention are as follows.

- (1) A DNA chain capable of increasing carotenoid production amount and containing the nucleotide sequence which encodes the polypeptide having the amino acid sequence substantially shown in Sequence ID No. 1, or a DNA chain that can be hybridized with said DNA chain.
- (2) A DNA chain capable of increasing carotenoid production and containing the nucleotide sequence which encodes the polypeptide having the amino acid sequence substantially shown in Sequence ID No. 2, or a DNA chain that can be hybridized with said DNA chain.

The present invention also relates to a method for carotenoid production. The characteristics of the carotenoids production methods of the present invention are as follows.

- (3) A production method characterized by introducing the DNA chain mentioned above either (1) or (2) into carotenoid producing microorganism, culturing said transformed microorganism and increasing carotenoid content in the cells and culture broth.
- (4) A production method characterized by introducing the DNA chain containing the nucleotide sequence which encodes the

polypeptide having the substantially same amino acid sequence shown in Sequence ID No. 3, or a DNA chain that can be hybridized with said DNA chain into carotenoid producing microorganism, culturing said microorganism and increasing carotenoid content in the cells and culture broth.

The present invention is described herein below.

As described in before, by introducing the carotenoid biosynthesis gene derived from microorganisms such as Erwinia uredovora, the non-photosynthetic soil bacteria and Agrobacterium aurantiacum, the marine bacteria) into other microorganisms which do not produce carotenoids such as E. coli, the microorganism can produce useful carotenoids such as astaxanthin, zeaxanthin, βcarotene and lycopene. In order to compete in price of the carotenoid produced by using the organic synthesis methods, it is necessary to achieve as higher carotenoid production as possible. The IPP isomerase gene, which include the gene encoding the polypeptide whose amino acid sequence is substantially IPP isomerase, of the invention is extremely useful for increasing the production amount of carotenoids. By using modern biotechnology, it is relatively easy to increase production amount of a protein encoded by an exogenous gene by enhancing expression level of the gene. However, if amounts of substrate necessary for a protein, that is enzyme, is limited, higher production of the protein does not lead to higher production of biochemicals such as carotenoids. For example, without sufficient amount of FPP, which is the first substrate. enhancement of expression level of the carotenoid synthesis genes does not lead to higher amount of carotenoids production. This time, we succeeded in increasing carotenoid production amount by

introducing the IPP isomerase gene. It is considered that the introduction of the IPP isomerase gene makes the flow of, the upstream of the pathway up to FPP larger(more efficient) and consequently, increased supply of FPP led to higher carotenoid production amount. The present invention started from the findings that by introducing either the gene encoding IPP isomerase, which convert from IPP to DMAPP vise versa, or encoding the protein homologous to IPP isomerase into carotenoid producing microorganism such as E. coli, to express the gene. carotenoid production amount is increased. By using carotenoid biosynthesis genes from Er. uredovora, cDNA expression libraries of Phaffia rhodozyma, Haematococcus pluvialis and so forth were prepared in  $\beta$ -carotene producing  $\underline{E.}$   $\underline{coli}$  as a host. As increased  $\beta\text{-carotene}$  content in  $\underline{\text{E.}}\ \underline{\text{coli}}\ \text{made,}$  some of the yellowish colonies brighter till almost orange. The plasmids extracted from such E. coli colonies were analyzed and were found to have genes with high homology to IPP isomerase of Saccharomyces cerevisiae. It has been speculated that HMG-CoA reductase(Figure 1), which catalyzes the reaction from HMG-CoA to mevalonate, may be the rate limiting enzyme for terpenoids including carotenoids. However, as for IPP isomerase, any such report has not been presented. Therefore, increase of carotenoid production by introducing a IPP isomerase gene was a new finding.

The present invention provides a DNA chain having characteristics of increasing carotenoid production amount, and it containing the nucleotide sequence which encodes the polypeptide having the substantially same amino aid sequence as those of IPP isomerase, and a production method for carotenoid characterized by introducing said DNA chain into the carotenoid

producing microorganism, culturing said transformed microorganism and increasing carotenoid content in the culture broth and cells.

The DNA chains of the present invention includes the DNA chains mentioned above (1) or (2), or the DNA chains which hybridize to said chains under stringent conditions.

Substantially, the polypeptides encoded by the DNA chains of the present invention have the amino acid sequences shown in SEQUENCE ID No. 1(A-B in Figures 4 and 5) or in SEQUENCE ID No. 2(C-D, in Figures 6 and 7). In the present invention, the polypeptides encoded by these DNA chains, the proteins of which amino acid sequence is substantially IPP isomerase, may be altered by deletion, replacement, addition and so forth of some amino acids, as long as the resulted polypeptides hold their higher carotenoid production activity. This allowance corresponds to "having the substantially same amino acid sequence substantially shown in SEQUENCE ID No. 1 or No. 2". As an example, a sequence which lacks the first amino acid(Met) can be included as the altered polypeptide or the altered enzyme. Needless to say, the DNA chains of the present invention include not only the chains having the nucleotide sequences which encode the amino acid sequences shown in SEQUENCE ID No. 1 and 2(Figures 4 to 5), but also the degenerate isomers of the chains, which differs only on degenerate codons and encode the same polypeptides as the original chains do.

#### (1) Obtaining the DNA chains

One method to obtain a DNA chain having the nucleotide sequence which encodes the amino acid sequence of the above protein is chemical synthesis of the DNA chain at least a part of the chain according to the known nucleic acid synthesis method.

However, considering that there are so many amino acids bound in the protein, it would be more preferable than chemical synthesis to make cDNA libraries of <u>Haematococcus pluvialis</u> or <u>Phaffia rhodozyma</u> or the like to obtain a targeted DNA chain by applying some popular method in the field of genetic engineering such as hybridization with appropriate probes.

# (2) Transformation of microorganisms such as E. coli and expression of gene

Higher carotenoid content in culture broth or cells of microorganisms can be achieved by introducing the above mentioned DNA chain of the present invention into appropriate microorganisms such as carotenoid-producing bacteria such as E. coli and Zymomonas mobilis containing carotenoid biosynthesis genes from Erwinia uredovora and so forth, or carotenoid-producing yeast such as Saccharomyces cerevisiae containing carotenoid biosynthesis genes from Erwinia uredovora and so force.

The outline of the method to introduce exogenous genes into preferable microorganisms is mentioned below.

Procedures or methods to introduce and express exogenous genes in microorganisms such as <u>E. coli</u>, besides those mentioned below in the present invention, includes those widely used in the field of genetic engineering. Those are applicable to the invention.

See "Vectors for cloning genes", Methods in Enzymology, 216: 469-631 (1992), Academic Press; "Other bacterial systems", Methods in Enzymology, 204: 305-636 (1991) Academic Press).

[E. coli]

There are some established and efficient methods to introduce exogenous genes to E. coli such as Hanahan's method and rubidium method, and they are applicable to the present invention (See Sambrook, J., Fritsch, E. F., Maniatis, T., "Molecular cloning-A laboratory manual", Cold Spring Harbor Laboratory Press (1989)). Expression of exogenous genes in E. coli can be performed by known methods (See "Molecular cloning-A laboratory manual". ibid.), for example, vectors for E. coli such as pUC and pBluescript vectors having lac promoter can be used. The inventors of the present invention used pSPORT1 vector or pBluescript II KS vector having lac promoter as vectors for E. coli, and inserted the IPP isomerase gene, derived from Haematococcus pluvialis, Phaffia rhodozyma or Saccharomyces cerevisiae, into the lac promoter with the direction of reading through of the transcription, and expressed the gene in E. coli. [Yeast]

There are some established methods such as the lithium method to introduce exogenous genes into <u>Saccharomyces cerevisiae</u>, yeast, and such methods are applicable to the present invention (See "New biotechnology on yeast", Ed. Bio-industry Association(Yuichi Akiyama, editor in chief), Igaku Syuppan Center). Expression of exogenous genes in yeast can be performed as follows. Using both promoters and terminators, e.g. for <u>PGK</u> and <u>GPD</u>, an expression cassette is constructed by inserting the exogenous gene so that during transcription, the gene is to be read through at the position between the promoter and the terminator. Expression can be performed by inserting the expression cassette into a vector for <u>S. cerevisiae</u> such as YRP vectors (multi-copy vectors for yeast, replication starts at ARS

sequence of yeast chromosome), YEp vectors (multi-copy vectors for yeast, replication starts at  $2\mu m$  DNA) and YIp vectors (vectors for yeast chromosome, no starting point of replication in yeast) (See "New biotechnology on yeast", ibid.; "Genetic engineering for production of substances", Ed. Japanese Society of Agrocultural Chemistry, Asakura Publishing company; or Yamano, S., Ishii, T., Nakagawa, M., Ikenaga, H., Misawa, N., "Metabolic engineering for production of  $\beta$ -carotene and lycopene in Saccharomyces cerevisiae", Biosci. Biotech, Biochem., 58: 1112-1114 (1994)).

#### [Zymomonas mobilis]

Introduction of exogenous genes into Zymomonas mobilis, the ethanol-producing bacterium can be performed by conjugal transfer method which is commonly used for gram negative bacteria. Expression of exogenous gene in Zymomonas mobilis can be performed by using pZA22 vector for this bacterium (See Katsumi Nakamura, "Molecular breeding of Zymomonas bacteria", Journal of the Japanese Society of Agrocultural Chemistry, 63: 1016-1018 (1989); and Misawa, N., Yamano, S., Ikenaga, H., "Production of β-carotene in Zymomonas mobilis and Agrobacterium tumefaciens by introduction of the biosynthesis genes from Erwinia uredovora", Appl. Environ. Microbiol., 57: 1847-1849 (1991)).

(3) Method to increase carotenoid production in microorganisms

By applying the above mentioned procedures or methods for introduction and expression of exogenous genes in microorganisms, both the carotenoid synthesis genes and the IPP isomerase gene can be introduced to express, and microorganisms capable of producing large amount of carotenoid can be obtained.

Farnesyl pyrophosphate (FPP) is the common substrate not only for carotenoids but also for other terpenoids such as sesquiterpenes, triterpenes, sterols and hopanols. In general, since microorganisms are synthesizing terpenoids even though they are not capable of synthesizing carotenoids, basically all of the microorganisms possesses FPP as an intermediate metabolite. On the other hand, Erwinia uredovora, the non-photosynthetic bacterium having the carotenoid synthesis genes can synthesize up to several useful carotenoids such as lycopene,  $\beta$ -carotene, zeaxanthin by using FPP as a substrate. When the genes are combined with the carotenoid synthesis genes of Agrobacterium aurantiacum, the marine bacterium, up to several useful carotenoids such as cantaxanthin and astaxanthin can also be synthesized (See Figures 2 and 3). The inventors of the present invention already confirmed that by introducing crt genes of Erwinia uredovora into microorganisms such as Saccharomyces cerevisiae, yeast and Zymomonas mobilis, ethanol-producing bacteria; these microorganisms can produce carotenoids such as  $\beta$ carotene as anticipated [Yamano, S., Ishii, T., Nakagawa, M., Ikenaga, H., Misawa, N., "Metabolic engineering for production of β-carotene and lycopene in Saccharomyces cerevisiae", Biosci. Biotech, Biochem., 58:1112-1114 (1994); Misawa, N., Yamano, S., Ikenaga, H., "Production of  $\beta$ -carotene in Zymomonas mobilis and Agrobacterium tumefaciens by introduction of the biosynthesis genes from Erwinia uredovora", Appl. Environ. Microbiol., 57:1847-1849 (1991); and Japanese laid-open Patent Application No. HEI 3-58786(Japanese Patent Application filing No. HEI 2-53255): "A DNA chain useful for synthesis of carotenoids" by the inventors1.

From the above findings, it can be expected that when an appropriate combinations of the carotenoid synthesis genes derived from <a href="Er. uredovora">Er. uredovora</a> and those from marine bacteria(typically the carotenoid synthesis genes derived from <a href="Ag. aurantiacum">Ag. aurantiacum</a>) are introduced into the same microorganism simultaneously, as a principle, all of the microorganisms, in which such genes are introduced and of which introduction-expression system is established, can produce useful carotenoids such as astaxanthin and zeavanthin.

In such cases, if the IPP isomerase gene(typically, derived from <u>Haematococcus</u> <u>pluvialis</u>, <u>Phaffia</u> <u>rhodozyma</u> and <u>Saccharomyces</u> <u>cerevisiae</u>) is introduced according to the above mentioned method, and is expressed concomitantly with the above carotenoid synthesis gene, higher production amount of useful carotenoids can be achieved.

### (4) Deposit of the microorganisms

The recombinant E. coli strain JM109 has been deposited as follows with the National Institute of Bioscience and Human-Technology, the Agency of Industrial Science and Technology. The strain contains the plasmid having the isolated gene which is the DNA chain of the invention. The names of the plasmids are shown in the parentheses.

#### (i) JM109(pRH1)

Deposit No.: FERM BP-5032

Date of Receipt: March 6th, 1995

(ii) JM109(pHP11)

Deposit No.: FERM BP-5031

Date of Receipt: March 6th, 1995

(ii) JM109(pSI1)

Deposit No.: FERM BP-5033

Date of Receipt: March 6th, 1995

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 shows the isoprene common biosynthetic pathway from  $\mbox{HMG-CoA}$  to  $\mbox{FPP.}$ 

FIGURE 2 shows the carotenoid biosynthetic pathway, and the functions of the carotenoid synthesis genes of <u>Erwinia uredovora</u>, the non-photosynthetic bacterium.

FIGURE 3 shows the carotenoid biosynthetic pathway, and the functions of the carotenoid synthesis genes of <u>Agrobacterium</u> <u>aurantiacum</u>, the marine bacterium. The solid line shows major biosynthetic pathway and the dotted line shows minor one.

FIGURES 4 and 5 shows the nucleotide sequence of the IPP isomerase gene and the amino acid sequence of the polypeptide encoded by said gene of <u>Phaffia rhodozyma</u>, the astaxanthin-producing yeast. In the Figure, the sequence from mark A to B shows the open reading frame encoding the polypeptide consisting of 251 amino acids.

FIGURES 6 and 7 shows the nucleotide sequence of the IPP isomerase gene and the amino acid sequence of the polypeptide encoded by said gene of <u>Haematococcus pluvialis</u>, the astaxanthin-producing green alga. In the Figure, the sequence from mark C to D shows the open reading frame encoding the polypeptide consisting of 259 amino acids.

FIGURES 8 and 9 shows the nucleotide sequence of the IPP isomerase gene and the amino acid sequence of the polypeptide encoded by said gene of <u>Saccharomyces cerevisiae</u>, the yeast for laboratory use. In the Figure, the sequence from mark E to F

shows the open reading frame encoding the polypeptide consisting of 288 amino acids.

FIGURE 10 shows the plasmids containing the carotenoid biosynthesis genes of <a href="Erwinia uredovora">Erwinia uredovora</a>, the non-photosynthetic bacterium.

FIGURE 11 shows the plasmids containing the IPP isomerase gene of <u>Phaffia rhodozyma</u>, <u>Haematococcus pluvialis</u>, or <u>Saccharomyces</u> cerevisiae.

FIGURE 12 shows the growth curve in the culture broth of the lycopene producing <u>E. coli</u> strains(L:). In the Figure, "control" means the <u>E. coli</u> strain having no exogenous IPP isomerase gene.

FIGURE 13 shows the lycopene production curve in the culture broth of the lycopene producing  $\underline{E.\ coli}$  strains(L:). In the Figure, "control" means the  $\underline{E.\ coli}$  strain having no exogenous IPP isomerase gene.

FIGURE 14 shows production of lycopene(L:),  $\beta$ -carotene( $\beta$ :) and phytoene(P:) in the cultured cells of the <u>E. coli</u> strains. In the Figure, "control" means the <u>E. coli</u> strain having no exogenous IFP isomerase gene.

#### EXAMPLE

The following examples illustrate the present invention in more detail, however, the present invention is not limited to them. The genetic recombination experiments used here are based on the standard methods(Sambrook, J., Fritsch, E. F., Maniatis, T., "Molecular cloning-A laboratory manual", Cold Spring Harbor Laboratory Press (1989)) unless otherwise stated.

(EXAMPLE 1) Biological materials and culture conditions Phaffia rhodozyma ATCC 24230 strain(Astaxanthin-producing yeast) registered at the American Type Culture Collection(ATCC) is used. YM media(yeast extract 0.3%, malt extract 0.3%, bactopeptone 0.5%, Glucose 1%) is used for Ph. rhodozyma. Haematococcus pluvialis, the astaxanthin-producing green alga, NIES-144 strain registered at the Global Environmental Forum is used. Ha. pluvialis is cultured at 20°C for about 4 days in basic culture media(yeast extract 0.2%, sodium acetate 0.12%, Lasparagin 0.04%, magnesium chloride hexahydrate 0.02%, ferrous sulfate heptahydrate 0.001%, calcium chloride dihydrate 0.002%) under 12 hr light(20  $\mu$ E/m2s)/12 hr dark condition. Furthermore, in order to induce astaxanthin synthesis in Ha. pluvialis, cyst formation, a kind of differentiation, has to be induced. To induce cyst formation, both acetic acid 45 mM and ferrous sulfate heptahydrate 450 µM at final concentrations are added. Ha. pluvialis in the media is cultured for about 12 hr at 20°C with light(125  $\mu$ E/m2s). Saccharomyces cerevisiae(Yeast for laboratory use) S288C strain registered at the Yeast Genetic Stock Center is used. For Sa. cerevisiae, YPD media(yeast extract 1%,

(EXAMPLE 2) Preparation of whole RNA in <u>Phaffia rhodozyma</u>

<u>Phaffia rhodozyma</u> ATCC 24230 strain is cultured with shaking for approx. 24 hr at 20°C in 400 ml of YM media. When the turbidity of the media reached at OD600 = 0.4, the bacteria are collected and frozen in liquid nitrogen. The frozen bacteria are stored in the freezer at -80°C and used for preparing total RNA. After thawing the frozen bacteria in a tube on ice, the bacteria

bactopeptone 2%, glucose 2%) is used.

are suspended in 6 ml of ANE buffer(10 mM sodium acetate, 100 mM sodium chloride, 1 mM EDTA, pH 6.0). Glass beads are added to cover the surface of the bacteria layer. Then, 600  $\mu l$  of 10% SDS and 6 ml of phenol prewarmed at 65°C are added. The suspension is kept at 65°C for 5 minutes, and the tube is vortexed to crushed cell membranes at every 30 seconds. Then, the suspension is rapidly cooled down to room temperature and centrifuged for 10 minutes at 1,500 x g at room temperature. Equal volume of phenol is added to the supernatant and vortex for 2 minutes. Then the suspension was centrifuged for 10 minutes at 1,500 x g at room temperature. Then, by using equal volume of phenol/chloroform(1/1(v/v)) and chloroform alone, the same procedures as above are performed. To the resulted supernatant, one tenth volume of 3 M sodium acetate and three volume of ethanol are added; then the supernatant is stored in the freezer at -20°C for 30 minutes. The supernatant is centrifuged for 15 minutes at 15,000 x g at  $4^{\circ}$ C, a pellet is rinsed with 70% ethanol and dried. The residual is dissolved in 200  $\mu l$  of sterilized water to make total RNA solution of Ph. rhodozyma. By this preparation procedure, 1.6 mg of total RNA is obtained.

(EXAMPLE 3) Preparation of whole RNA in Haematococcus pluvialis Haematococcus pluvialis NIES-144 strain is cultured for approx. 4 days in 800 ml of the basic culture media under the condition of 20°C, light intensity at 20  $\mu$ E/m2s and 12 hr light/12 hr dark cycle. Then, both acetic acid 45 mM and ferrous sulfate heptahydrate 450  $\mu$ M as final concentrations are added. The H. pluvialis in the media is cultured for approx. 12 hr at 20°C with light(125  $\mu$ E/m2s). The bacteria are collected from the

media, frozen in liquid nitrogen and crushed in the mortar to give powder. Then, three ml of ISOGEN-LS[Nippon Gene K.K.] is added to the powder and stand for 5 minutes. Then 0.8 ml of chloroform is added, and the solution is stirred vigorously for 15 seconds and stand at room temperature for 3 minutes. The solution is centrifuged for 15 minutes at 4°C, 12,000 x g, two ml of isopropanol is added to the supernatant and the supernatant is stood at room temperature for 10 minutes. Then, the solution is centrifuged for 10 minutes at 4°C, 12,000 x g. The resulted pellet is rinsed with 70% ethanol to dry. After drying, the residual is dissolved in 1 ml of TE buffer(10 mM Tris-HCl pH 8.0, 1 mM EDTA) to make total RNA solution of Ha. pluvialis. By this preparation procedure, 4.1 mg of whole RNA was obtained.

(EXAMPLE 4) Establishing cDNA expression libraries of <u>Phaffia</u> <u>rhodozyma</u> and <u>Haematococcus</u> <u>pluvialis</u>

By using Oligotex-dT30 Super[Takara Syuzo K.K.], poly A + RNA from Phaffia rhodozyma and Haematococcus pluvialis are purified from approx. 1 mg total RNA respectively. The purification is performed according to the methods mentioned in the package insert. By following the method, approx. 26  $\mu$ g of poly A + mRNA from Ph. rhodozyma and approx. 14  $\mu$ g of it from Ha. pluvialis are purified.

Preparation of cDNA is performed with SuperscriptTM plasmid system(GIBCO BRL) by the method mentioned in the package insert with some modifications. Approx. 5  $\mu$ g of poly A + mRNA is used. A synthetic DNA consisting of the recognition sequence for the restriction enzyme NotI and 15 mers oligo-dT is used as a primer. The complementary DNA is synthesized with reverse transcriptase,

SUPERSCRIPT RT. Then, by using Escherichia coli DNA ligase, E.  $\underline{\text{coli}}$  DNA polymerase and  $\underline{\text{E.}}$   $\underline{\text{coli}}$  RNase H, double strand DNA is synthesized. Then, the linker of the restriction enzyme SalI is bound by using T4 DNA ligase. cDNA is designed to have the SalI site at the upstream terminal of itself and the NotI site at the downstream of poly A. Fractionation by size of these cDNAs is performed by electrophoresis and the fractions ranging from 0.7 kb to 3.5 kb are collected. cDNA in the collected fractions is ligated to cDNA expression vector pSPORT I NotI-SalI-Cut by using both the ligation buffer which is included in the kit. 50 mM Tris-HCl pH 7.6, 10 mM MgCl2, 1 mM ATP, 1 mM DTT, 5% PEG 8,000 and T4 DNA Ligase. The cDNA expression vector pSPORT I has lac promoter at the upstream of the SalI site and can express cDNA in E. coli. Then, by using whole the ligated DNA solution, transformation of the competent cells of  $\underline{\text{E.}}$  coli DH5 $\alpha$  prepared is performed according to the method described in "Molecular Cloning 2nd edition: Cold Spring Harbor Laboratory, 1.21-1.41(1989). Approx. 200,000 transformed strains of Ph. rhodozyma and approx. 40,000 transformed strains of Ha. pluvialis are obtained. After collecting all of the transformants, the plasmid DNA is prepared according to the method described in "Molecular Cloning 2nd edition, ibid." As a result, 0.9 mg and 0.6 mg of plasmid DNAs are obtained respectively and these are assigned as cDNA libraries of Ph. rhodozyma and Ha. pluvialis.

(EXAMPLE 5) Preparation of carotenoid-producing E. coli

The plasmid pCAR16(Misawa, N., Nakagawa, M., Kobayashi, K.,
Yamano, S., Izawa, Y., Nakamura, K., Harashima, K., "Elucidation
of the Erwinia uredovora carotenoid biosynthetic pathway by

functional analysis of gene products expressed in Escherichia coli", J. Bacteriol., 172:p.6704-6712 (1990) and Japanese Patent Application laid-open No. HEI 3-58786 (Japanese Patent Application filing No. HEI 2-53255): "A DNA chain useful for synthesis of carotenoids" by the present inventors) having the carotenoid synthesis genes except for crtZ derived from Erwinia uredovora, is digested with BstEII, treated with Klenow enzyme and religated to inactivate the crtX gene by frame shift. After that, the 6.0 kb Asp718(KpnI)-EcoRI fragment containing crtE, crtB, crtI and crtY genes necessary for B-carotene production is taken out. The fragment is then inserted into the EcoRV sites of the E. coli vector pACYC184 and the desirable plasmid(named pACCAR16AcrtX, FIGURE 10) is obtained. E. coli containing this plasmid (pACCAR16AcrtX) is chloramphenicol resistant and has yellowish color due to B-carotene production.

Then, the plasmid pCAR16 is digested with <u>BstEII/SnaBI</u>, treated with Klenow enzyme and religated to remove the 2.26 kb <u>BstEII-SnaBI</u> fragment containing <u>crtX</u> and <u>crtY</u> genes. After that, the 3.75 kb <u>Asp718(KpnI)-EcoRI</u> fragment containing <u>crtE</u>, <u>crtB</u> and <u>crtI</u> genes necessary for lycopene production is taken out. The fragment is then inserted into the <u>EcoRV</u> sites of the <u>E. coli</u> vector pACYC184 and the desirable plasmid(named pACCRT-EIB, FIGURE 10) is obtained. <u>E. coli</u> containing pACCRT-EIB is chloramphenicol resistant and has reddish color due to lycopene production (Cunningham Jr., F. X., Chamovitz, D., Misawa, N., Gatt, E., Hirschberf, J., "Cloning and functional expression in <u>Escherichia coli</u> of a cyanobacterial gene for lycopene cyclase, the enzyme that catalyzes the biosynthesis of β-carotene", FEBS Lett., 328: 130-138 (1993)).

(EXAMPLE 6) Screening of genes that increase  $\beta\text{-carotene}$  production

As the E. coli strain JM101 containing the above plasmid pACCAR16ΔcrtX shows yellowish color due to β-carotene production, it was investigated whether more yellowish transformant can be obtained by introducing cDNA expression library of Phaffia rhodozyma or Haematococcus pluvialis. As a first step, competent cells of E. coli JM101 containing pACCAR16ΔcrtX are prepared according to the method described in "Molecular cloning 2nd edition: Cold Spring Harbor Laboratory, 1.21-1.41(1989). Then, one hundred ng of each cDNA expression library of Ph. rhodozyma and Ha. pluvialis is introduced to 1 ml of the competent cells. Approx. 200,000 transformants of Ph. rhodozyma and approx. 40,000 transformants of Ha. pluvialis are obtained and inoculated for

screening on the LB plate(bactotrypton 1%, yeast extract 0.5%, NaCl 1%, agar 1.5%) containing 150  $\mu g/ml$  of ampicillin, 30  $\mu g/ml$ of chloramphenical and 1 mM of IPTG. From the screening, 5 strains of Ph. rhodozyma and 10 strains of Ha. pluvialis shows deep yellowish color than other strains and they are isolated. The plasmid DNA extracted from these strains is subject to restriction enzyme analysis, and it was found that the plasmids from the five strains and ten strains have common DNA fragment respectively. Of these screened plasmids derived from the cDNA expression libraries, a plasmid from Ph. rhodozyma was named pRH1(Figure 11) and another plasmid from Ha. pluvialis was named pHP1. In addition to that, a fragment is taken out after digesting pHP1 with  $\underline{Sal}I$  and  $\underline{Not}I$ , and then, the fragment is inserted into pBluescript KS+. The resulted plasmid was named pHP11(FIGURE 11) and was used for the experiments mentioned below.

(EXAMPLE 7) Nucleotide sequence determination on the gene that increases  $\beta$ -carotene production

From the plasmids pRH1 and pHP1, the deletion plasmids which lack various lengths from the original plasmids are prepared by the following procedures. By using those deletion plasmids, the nucleotide sequences are determined. Decomposition of pRP1 is performed with both <a href="EcoRI">EcoRI</a> and <a href="EstI">PSTI</a>, or with both <a href="Motor Indage Sph1">Not Indage Sph1</a>. Decomposition of pHP1 is performed with both <a href="Motor Indage Sph1">AatII</a> and <a href="EaoRI">EaoRI</a>. After extraction with phenol/chloroform, DNA is recovered by ethanol precipitation.

Each DNA fraction is then dissolved in 100 \$\mu\$1 portions of ExoIII buffer(50mM Tris-HC1, 100mM NaC1, 5mM MgCl2, 10mM 2-

mercaptoethanol, pH 8.0) and is kept at 37°C after addition of 180 units of ExoIII nuclease. Ten  $\mu$ l portions of the solution are sampled every 30 seconds and transferred to tubes containing 10  $\mu$ l of MB buffer(40 mM NaCl, 2 mM ZnCl<sub>2</sub>, 10% glycerol, pH 4.5) in an ice bath. After sampling, the 10 tubes are kept at 65°C for 10 minutes to inactivate the enzyme. Then, 5 units of mung bean nuclease is added and kept at 37°C for 30 minutes. From one original plasmid, ten different kind of DNA fragments are recovered by agarose gel electrophoresis. The degree of deletion of each fragment varies. The terminals of the recovered DNAs are smoothed with Klenow enzyme to subject to ligation reaction at 16°C overnight, and by using resulting DNA,  $\underline{E}$ .  $\underline{coli}$  DH5 $\alpha$  is transformed to obtain clones. The plasmids are prepared from the various clones obtained, and nucleotide sequences are determined by using luminescence primer cycle sequence kit(Applied Biosystems corp.) with an automatic sequencer.

As a result, it was found that the nucleotide sequence of the CDNA in pRH1 derived from Phaffia rhodozyma consists of 1,099 base pairs (SEQUENCE ID No. 4), and there is an open reading frame which encodes a polypeptide having 251 amino acids (which corresponds the region from A to B in Figures 4 and 5). It was also found that the nucleotide sequence of the cDNA in pHP1 derived from Haematococcus pluvialis consists of 1,074 base pairs (SEQUENCE ID No. 5), and there is an open reading frame which encodes a polypeptide having 259 amino acids (which corresponds the region from C to D in Figures 6 and 7). The amino acid sequences expected from these open reading frames are investigated by analyzing homology in the Gene Bank. Both of the amino acid sequences of Ph. rhodozyma and Ha. pluvialis have

significant homology with the IPP isomerase gene of <u>Saccharomyces</u> <u>cerevisiae</u>, 27.0% for <u>Ph. rhodozyma</u> and 20.3% for <u>Ha. pluvialis</u>. Therefore the genes were identified as the IPP isomerase gene.

# (EXAMPLE 8) Preparation of total DNA in <u>Saccharomyces</u> <u>cerevisiae</u>

Preparation of total DNA in Saccharomyces cerevisiae is performed according to the method described in "Methods in Yeast Genetics; a laboratory course manual: Cold Spring Harbor Laboratory, p.131-132(1990). Sa. cerevisiae S288C strain is inoculated in 10 ml of YPD media and cultured at 30°C overnight. The cultured cells are collected and suspended in 0.5 ml of sterilized water for washing. By discarding the supernatant, the yeast are collected again. A 0.2 ml of the mixture(2% Triton X-100, 1% SDS, 100 mM NaCl, 10 mM Tris-Cl(pH 8), 1 mM EDTA), 0.2 ml of phenol/chloroform/isoamylalcohol (25/24/1 (v/v/v)) and 0.3 q of glass beads are added. After vortex mix for 3-4 minutes, two hundred  $\mu$ l of TE buffer(10 mM Tris-Cl(pH 8), 1 mM EDTA) is added. Then the solution is centrifuged for 5 minutes, and the supernatant is transferred to another tube and 1 ml of ethanol is added. Then the solution is centrifuged again for 2 minutes. The resulted pellet is dissolved in 0.4 ml of TE buffer. Then, two  $\mu$ l of RNase A(10 mg/ml) is added and the solution is stood for 5 minutes at 37°C. Then, ten  $\mu l$  of 4 M ammonium acetate and 1 ml of ethanol are added. After mixing well, the solution is centrifuged for 2 minutes and the resulted pellet is recovered. After drying the pellet, it was dissolved with 50  $\mu$ l of TE buffer to have total DNA of S. cerevisiae S288C strain. By this preparation procedure, 3.4 µg of total DNA was obtained.

(EXAMPLE 9) Isolation of the IPP isomerase gene of Saccharomyces cerevisiae by PCR method

Based on the nucleotide sequence of the IPP isomerase gene of S. Cerevisiae reported in the aforementioned reference(Anderson, M. S., Muehlbacher, M., Street, I.P., Profitt, J., Poulter, C. D., "Isopentenyl diphosphate: dimethylallyl diphosphate isomerase - an improved purification of the enzyme and isolation of the gene from <a href="Saccharomyces cerevisiae">Saccharomyces cerevisiae</a>", J. Biol. Chem., 264:19169-19175(1989)), the primers below were synthesized.

Primer No. 1 5'-TCGATGGGGGTTGCCTTTCTTTTCGG-3'

Primer No. 2 5'-CGCGTTGTTATAGCATTCTATGAATTTGCC-3'

The procedure was designed to obtain PCR amplified IPP isomerase gene having TaqI sites at the upstream terminal and ACCII region at the downstream terminal. Thirty cycles of PCR is performed with 200 ng of total DNA of S. Cerevisiae and PfuDNA polymerase (STRATAGENE). To express the IPP isomerase gene obtained by PCR in E. Coli, it is digested with both TaqI and ACCII. Then, the gene was inserted into ClaI sites and SmaI sites of pBluescript KS+ vector. The resulted plasmid was named pSII(Figure 11). This DNA derived from S. Cerevisiae had a nucleotide sequence consisting of 1,058 bp (SEQUENCE ID No. 6), and contained a gene which encodes IPP isomerase consisting of 288 amino acids(corresponds from E to F in Figures 8 and 9).

(EXAMPLE 10) Increase of lycopene production amount by introducing the IPP isomerase gene

Into the lycopene-producing <u>E. coli</u> JM101 strain (abbreviated as L hereafter) which contains pACCRT-EIB(Figure 10), pSPORT1

vector, pRH1 plasmid containing the IPP isomerase gene of Phaffia rhodozyma, pHP11 plasmid containing the IPP isomerase gene of Haematococcus pluvialis or pSI1 plasmid containing the IPP isomerase gene of Saccharomyces cerevisiae(FIGURE 11) are introduced respectively. These  $\underline{\mathtt{E.}}$   $\underline{\mathtt{coli}}$  transformants are then plated on the LB plate containing 150  $\mu$ g/ml of ampicillin(Ap), 30  $\mu g/ml$  of chloramphenicol(Cm) and 1 mM of IPTG, and cultured at 28°C overnight. The three strains, in which each IPP isomerase gene were introduced, showed deep reddish color due to lycopene production compared with the control (lycopene-producing E.coli) in which only vector is introduced. Furthermore, growth rate of the three strains on agar plates were faster than the control strains and they always showed larger colonies than those of the control during culture. It is considered that due to introduction and expression of the IPP isomerase gene, the upstream of the biosynthetic pathway up to FPP became more efficient(see FIGURE 1), and consequently, increase of FPP supply led to increase of lycopene. As for faster growth rate, it is also considered that due to increase of FPP, sufficient amount of the substrate can be supplied not only for lycopene production but also for the production of other membrane components derived from FPP, that is, FPP or GGPP binding protein, and these components are necessary for growth of E. coli.

Increase of lycopene production amount by <u>E.coli</u> carrying the IPP isomerase gene is also confirmed by liquid culture. After overnight shaking culture of the LB media(5 ml) containing both Ap and Cm at 28°C, 2 ml of the media is taken and transferred to 200 ml of 2YT culture media(1.6% bactotrypton, 1% yeast extract, 0.5% NaCl) containing Ap, Cp and 0.1 mM IPTG, and shaking culture

is performed at 230 rpm, 28°C. Five ml each of the media is sampled several hours' intervals to determine growth rate and lycopene content. Growth rate is calculated from absorbance at 650 nm. Lycopene content is determined according to the following procedure. The cells collected by centrifugation, 2.5 ml of acetone is added to the cells and stand for 30 minutes. Vortex mix once in a while. After filtration, absorbance at 474 nm is measured to determine the lycopene content based on the absorbance 185.0 for 1 mM lycopene (light path: 1 cm). JASCO UVIDEC-220B spectrophotometer is used. By using HPLC, it is confirmed that these strains actually produced lycopene and absorbance at 474 nm is attributable to lycopene. HPLC conditions are mentioned in EXAMPLE 11. The results are shown in Figure 12(growth curve) and Figure 13(lycopene production curve). As for the growth rate(Figure 12), there is no difference among any the strains including the control strains. This result is different from that obtained from culture plates. Probably, when the liquid culture is performed, even in the control strain which does not have exogenous IPP isomerase gene can grow rapidly, because the supply of the substrate for membrane components such as FPP and GGPP binding protein is enough compared to agar culture is done. In contrast, there is a big difference between the control strain having no exogenous IPP isomerase gene and the three exogenous IPP isomerase gene-carrying strains. During culture, the three strains always showed several times higher lycopene production amount compared with the control strain. Lycopene production amount per E. coli dry weight at 28 hr after the start of the culture is shown in Figure 14. The three strains containing the IPP isomerase gene showed 3.6-4.5 times

higher production than the control strain. Lycopene-producing <u>E. coli</u> containing pHP11 is able to produce 1.03 mg lycopene per 1g dry weight.

(EXAMPLE 11) Increase of  $\beta$ -carotene production amount by introducing the IPP isomerase gene

Into the  $\beta$ -carotene producing E. coli JM101 strain (abbreviated as β hereafter) which contains pACCAR16ΔcrtX(FIGURE 10), either pSPORT1 vector or pRH1 plasmid containing the IPP isomerase gene of Phaffia rhodozyma is introduced separately. After overnight shaking culture of the LB media(5 ml) containing both Ap and Cm at 28°C, 1 ml of the media is taken and transferred to 100 ml of 2YT media containing Ap. Cm and 0.1 mM IPTG, and shaking culture is performed at 230 rpm at 28°C for 28 hr. The bacteria are collected by centrifugation and washed with 0.85% NaCl. After washing, the bacteria are suspended in 40 ml of acetone and allowed to stand for 30 minutes. Vortex mix once in a while. After filtration, absorbance at 454 nm is measured to determine  $\beta$ -carotene content based on the absorbance 134.4 for 1 mM  $\beta$ -carotene (light path: 1 cm). The result is shown in FIGURE 14.  $\beta$ -Carotene producing E. coli containing pRH1 produced 709  $\mu$ g of  $\beta$ -carotene per 1g dry weight. This amount is 1.5 times higher than the control.

By using HPLC on the above acetone extract, it is confirmed that these strains actually produced  $\beta$ -carotene and absorbance at 454 nm is attributable to  $\beta$ -carotene. Novapack HR  $6\mu$  C18(3.9 x 300 mm, Waters) is used as a column. Acetonitrile/methanol/2-propanol(90/6/4(v/v/v)) is used as an elution solvent. A photodiode array detector 996(Waters) is used to monitor an

elution profile. The results showed that almost 100% of a peak appeared in a visible spectrum is  $\beta$ -carotene. As the  $\beta$ -carotene standard preparation, chemically synthesized  $\beta$ -carotene (Sigma) is used.

(EXAMPLE 12) Increase of phytoene production amount by introducing the IPP isomerase gene

Into the phytoene producing E. coli JM101 strain (abbreviated as P hereafter) which contains pACCRT-EB(FIGURE 10), any of pSPORT1 vector , pRH1 plasmid containing the IPP isomerase gene of Phaffia rhodozyma or pHP11 plasmid containing the IPP isomerase gene of Haematococcus pluvialis is introduced separately. After overnight shaking culture of the LB media(5 ml) containing both Ap and Cm at 28°C, 1 ml of the media is taken and transferred to 100 ml of 2YT media containing Ap. Cm and 0.1 mM IPTG, and shaking culture is performed at 230 rpm at 28°C for 28 hr. The bacteria are collected by centrifugation and washed with 0.85% NaCl. After washing, the bacteria are suspended in 40 ml of acetone and allowed to stand for 30 minutes. once in a while. After filtration and drying by rotary evaporator, partition is performed with 40 ml of petroleum ether and water. Absorbance of the ether layer at 286 nm is measured to determine phytoene content based on the absorbance 41.2 for 1 mM phytoene (light path: 1 cm). As HPLC analysis described in EXAMPLE 11 showed that 70% of the absorbance at 286 nm is attributable to phytoene, an and also actual phytoene content is adjusted to 70% of the above value. The result is shown in FIGURE 14. Phytoene-producing E. coli containing the IPP

isomerase gene produced 1.7-2.1 times higher phytoene than control strain.

From the above examples, we showed that by introducing the IPP isomerase gene into  $\beta$ -carotene, lycopene or phytoene-producing E. coli, several times higher carotenoid production is actually achieved. It is considered that due to introduction and expression of the IPP isomerase gene, upstream of the biosynthetic pathway up to FPP became more efficient(see FIGURE 1), and consequently, increase of FPP supply led to increase of these carotenoids. Therefore, it is considered that this findings can be applicable not only for  $\beta$ -carotene, lycopene and phytoene productions but also for all other carotenoids such as astaxanthin and zeaxanthin.

The present invention provides a DNA chain which can significantly increase carotenoid production in biosynthesis of carotenoid by microorganisms and a method to obtain several times higher carotenoid production amount by introducing and expressing said DNA chain into carotenoid-producing microorganisms. It is expected that said DNA chain can be applicable to increase production in microorganisms not only for carotenoids but also for terpenoids and so forth which require same substrate(FPP) as carotenoids.

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  TOPOLOGY: linear
  MOLECULAR TYPE: peptide
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Arg Cys Ile Leu Val Asn Pro Asp Asp Val Ala Tyr Gly Glu Ala Ser
                              40
Lys Lys Thr Cys His Leu Met Ser Asp Ile Asn Ala Pro Lys Asp Leu
     50
                                              60
Leu His Arg Ala Phe Ser Val Phe Leu Phe Arg Pro Ser Asp Gly Ala
                     70
                                          75
Leu Leu Gln Arg Arg Ala Asp Glu Lys Ile Thr Phe Pro Gly Met
Trp Thr Asn Thr Cys Cys Ser His Pro Leu Ser Ile Lys Gly Glu Val
            100
                                 105
                                                     110
Glu Glu Glu Asn Gln Ile Gly Val Arg Arg Ala Ala Ser Arg Lys Leu
                            120
Glu His Glu Leu Gly Val Pro Thr Ser Ser Thr Pro Pro Asp Ser Phe
    130
                        135
Thr Tyr Leu Thr Arg Ile His Tyr Leu Ala Pro Ser Asp Gly Leu Trp
                    150
                                         155
Gly Glu His Glu Ile Asp Tyr Ile Leu Phe Ser Thr Thr Pro Thr Glu
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                                     170
                                                          175
His Thr Gly Asn Pro Asn Glu Val Ser Asp Thr Arg Tyr Val Thr Lys
            180
                                 185
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Leu Leu Ala Arg Asp Glu Lys Gly Glu Val Asp Ala Lys Ser Leu
225 230 235 240

Pro Glu Leu Gln Ala Met Phe Glu Asp Glu Ser Asn Ser Phe Thr Pro 195 200 205

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SEQUENCE ID No.: 2

LENGTH: 259

SEQUENCE TYPE: amino acid

TOPOLOGY: linear

MOLECULAR TYPE: peptide

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5 10 15

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Cys Ile Leu Val Asp Ala Asp Asp Asn Ile Thr Gly His Val Ser Lys \$35\$ \$40\$ \$45\$

Leu Glu Cys His Lys Phe Leu Pro His Gln Pro Ala Gly Leu Leu His 50 55 60

Arg Ala Phe Ser Val Phe Leu Phe Asp Asp Gln Gly Arg Leu Leu Leu 65 70 75 80

Gln Gln Arg Ala Arg Ser Lys Ile Thr Phe Pro Ser Val Trp Thr Asn 85 90 95

Thr Cys Cys Ser His Pro Leu His Gly Gln Thr Pro Asp Glu Val Asp
100 105 110

Gln Leu Ser Gln Val Ala Asp Gly Thr Val Pro Gly Ala Lys Ala Ala 115 120 125

Ala Ile Arg Lys Leu Glu His Glu Leu Gly Ile Pro Ala His Gln Leu 130 135 140

Pro Ala Ser Ala Phe Arg Phe Leu Thr Arg Leu His Tyr Cys Ala Ala 145 150 150 155 160

Asp Val Gln Pro Ala Ala Thr Gln Ser Ala Leu Trp Gly Glu His Glu 165 170 175

Met Asp Tyr Ile Leu Phe Ile Arg Ala Asn Val Thr Leu Ala Pro Asn 180 185 190

Pro Asp Glu Val Asp Glu Val Arg Tyr Val Thr Gln Glu Glu Leu Arg 195 200 205

Asn Glu Ala \*\*\*

SEQUENCE ID No.: 3

LENGTH: 288

SEQUENCE TYPE: amino acid

TOPOLOGY: linear

MOLECULAR TYPE: peptide

SEQUENCE:

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165 170 175 Pro Glu Asp Glu Thr Lys Thr Arg Gly Lys Phe His Phe Leu Asn Arg 185 Ile His Tyr Met Ala Pro Ser Asn Glu Pro Trp Gly Glu His Glu Ile 200 Asp Tyr Ile Leu Phe Tyr Lys Ile Asn Ala Lys Glu Asn Leu Thr Val 210 215 220 Asn Pro Asn Val Asn Glu Val Arg Asp Phe Lys Trp Val Ser Pro Asn 230 235 Asp Leu Lys Thr Met Phe Ala Asp Pro Ser Tyr Lys Phe Thr Pro Trp 245 250 255 Phe Lys Ile Ile Cys Glu Asn Tyr Leu Phe Asn Trp Trp Glu Gln Leu 260 265 Asp Asp Leu Ser Glu Val Glu Asn Asp Arg Gln Ile His Arg Met Leu

280

285

SECUENCE ID No.: 4

275

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LENGTH: 1099

SEQUENCE TYPE: nucleic acid

STRANDNESS: double

TOPOLOGY: linear

MOLECULAR TYPE: cDNA

ORIGIN

ORGANISM: Phaffia rhodozyma

STRAIN: ATCC 24230

SEQUENCE CHARACTERISTIC

CHARACTERISTIC CODE: CDS

LOCATIION: 99..851

DETERMINATION METHOD: E

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										1	Met S	Ser M	let P	ro A	sn Il	e
															5	
GTT	CCC	CCC	GCC	GAG	GTC	CGA	ACC	GAA	GGA	CTC	AGT	TTA	GAA	GAG	TAC	164
Val	Pro	Pro	Ala	${\tt Glu}$	Val	Arg	Thr	Glu	${\tt Gly}$	Leu	ser	Leu	${\tt Glu}$	Glu	Tyr	
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GTG	TTT	CTC	TTC	CGC	CCA	TCG	GAC	GGA	GCA	$\mathtt{CTC}$	CTG	CTT	CAG	CGA	AGA	356
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Ser	His	Pro	Leu	Ser	Ile	Lys	Gly	Glu	Val	Glu	Glu	Glu	Asn	Gln	Ile	
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GGT	GTT	CGA	CGA	GCT	GCG	TCC	CGA	AAG	TTG	GAG	CAC	GAG	CTT	GGC	GTG	500
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										ACC						548
	Thr	Ser	Ser	Thr		Pro	Asp	Ser	Phe	Thr	Tyr	Leu	Thr	Arg	Ile	
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His	Tyr	Leu	Ala		Ser	Asp	Gly	Leu	Trp	Gly	Glu	His	Glu	Ile	Asp	
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										CAC						644
Tyr	Ile	Leu		Ser	Thr	Thr	Pro	Thr	Glu	His	Thr	Gly	Asn	Pro	Asn	
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GAA	GTC	TCT	GAC	ACT	CGA	TAT	GTC	ACC	AAG	CCC	GAG	CTC	CAG	GCG	ATG	692
Glu	Val	Sor	Acn	Thr	Δτα	That are	T/al	Thr	T.370	Dro	G111	T.011	Gln.	7 T 2	Mot	

185 190 195 TTT GAG GAC GAG TCT AAC TCA TTT ACC CCT TGG TTC AAG TTG ATT GCC 740 Phe Glu Asp Glu Ser Asn Ser Phe Thr Pro Trp Phe Lys Leu Ile Ala 200 205 210 CGA GAC TTC CTG TTT GGC TGG TGG GAT CAA CTT CTC GCC AGA CGA AAT Arg Asp Phe Leu Phe Gly Trp Trp Asp Gln Leu Leu Ala Arg Arg Asn 215 220 225 230 GAA AAG GGT GAG GTC GAT GCC AAA TCG TTG GAG GAT CTC TCG GAC AAC 836 Glu Lys Gly Glu Val Asp Ala Lys Ser Leu Glu Asp Leu Ser Asp Asn 235 AAA GTC TGG AAG ATG TAGTCGACC CTTCTTTCTG TACAGTCATC TCAGTTCGCC 890 Lys Val Trp Lys Met \*\*\*

250

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SEQUENCE ID No.: 5

LENGTH: 1074

SEQUENCE TYPE: nucleic acid

STRANDNESS: double

TOPOLOGY: linear

MOLECULAR TYPE: CDNA

ORIGIN

ORGANISM: Haematococcus pluvialis

STRAIN: NIES-144

SEQUENCE CHARACTERISTIC

#### CHARACTERISTIC CODE: CDS

LOCATIION: 145..921

DETERMINATION METHOD: E

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														Asp			22
1115	nec	Arg	GIY	15	Ser	TIIL	пъ	Ата	20	_	GIII	per	GIII	25			
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CAG	GGG	CGA	CTG	CTG	CTG	CAA	CAG	CGT	GCA	CGA	TCA	AAA	ATC	ACA	TTC	4	14
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Pro	Ser	Val	Trp		Asn	Thr	Cys	Cys			Pro	Leu	His	Gly	Gln	l	
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Thr	Pro	Asp		Val	Asp	Gln	Leu		Gln	Val	Ala	Asp	-	Thr	Val		
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Pro	GTA		Lys	Ala	Ala	Ala		Arg	Lys	Leu	Glu		Glu	Leu	Gly		
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тте		ата	HIS	GIN	ьeu		Ala	ser	ата	rne	-	rne	ьeu	Thr	Arg		
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255
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ΑΑΑΑΑΑΑΑΑ ΑΑΑΑ 1074

SEQUENCE ID No.: 6

LENGTH: 1058

SEQUENCE TYPE: nucleic acid

STRANDNESS: double

TOPOLOGY: linear

MOLECULAR TYPE: genomic DNA

ORIGIN

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S	EQUE	NCE	CHAR	ACTE	RIST	IC										
	CHA	RACI	ERIS	TIC	CODE	: CE	s									
	LOC	'ATII	ON:	187.	.105	0										
	DET	ERMT	ттаи	N NO	METHC	ים:	:									
s	EQUE															
TCG	TGG	GG 1	TGCC	стттс	er ro	TTTC	GTCI	TA?	ACTC	CATT	TAT	ATTT2	ATT :	PATTO	CATT	PT 60
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ACC																AGT 231
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TAC	GCC	AAA	ттъ	GTG	CAA		CAA	ACA	ССТ	GAA		ΔͲͲ	TTG	GAA	GAG	279
					Gln											-,,
				20					25					30		
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~-~			35					40					45			
					GAA											375
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80					85					90					95	
					GGT											519
GIU	ASII	тте	GTU	шys	Gly	ьeu	ьeu	uls	ALG	Ald	Flie	ser	val	FIIE	тте	

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ATA	ACT	TTC	CCT	GAT	CTT	TGG	ACT	AAC	ACA	TGC	TGC	TCT	CAT	CCA	CTA	615
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160					165					170					175	
ATT	CCA	GAA	GAT	GAA	ACT	AAG	ACA	AGG	GGT	AAG	TTT	CAC	TTT	TTA	AAC	759
Ile	Pro	Glu	Asp	Glu	Thr	Lys	Thr	Arg	Gly	Lys	Phe	His	Phe	Leu	Asn	
				180					185					190		
AGA	ATC	CAT	TAC	ATG	GCA	CCA	AGC	AAT	GAA	CCA	TGG	GGT	GAA	CAT	GAA	807
Arg	Ile	His	Tyr	Met	Ala	Pro	Ser	Asn	Glu	Pro	Trp	Gly	Glu	His	Glu	
			195					200					205			
ATT	GAT	TAC	ATC	CTA	$\mathbf{T}\mathbf{T}\mathbf{T}$	TAT	AAG	ATC	AAC	GCT	AAA	GAA	AAC	TTG	ACT	855
Ile	Asp	Tyr	Ile	Leu	Phe	Tyr	Lys	Ile	Asn	Ala	Lys	$\operatorname{Glu}$	Asn	Leu	Thr	
		210					215					220				
GTC	AAC	CCA	AAC	GTC	AAT	GAA	GTT	AGA	GAC	$\mathbf{TTC}$	AAA	TGG	GTT	TCA	CCA	903
Val	Asn	Pro	Asn	Val	Asn	Glu	Val	Arg	Asp	Phe	Lys	Trp	Val	Ser	Pro	
	225					230					235					
AAT	GAT	$\mathbf{T}\mathbf{T}\mathbf{G}$	AAA	ACT	ATG	TTT	GCT	GAC	CCA	AGT	TAC	AAG	TTT	ACG	CCT	951
Asn	Asp	Leu	Lys	Thr	Met	Phe	Ala	Asp	Pro	Ser	Tyr	Lys	Phe	Thr	Pro	
240					245					250					255	
TGG	TTT	AAG	ATT	ATT	TGC	GAG	AAT	TAC	TTA	TTC	AAC	TGG	TGG	GAG	CAA	999
Trp	Phe	Lys	Ile	Ile	Cys	Glu	Asn	Tyr	Leu	Phe	Asn	Trp	Trp	Glu	Gln	
				260					265					270		
TA (	GAT (	GAC (	CTT :	rct (	GAA (	GTG (	GAA 2	AAT (	GAC I	AGG (	CAA	ATT (	CAT	AGA .	ATG 1	047
Leu	Asp	Asp	Leu	Ser	Glu	Val	Glu	Asn	Asp	Arg	Gln	Ile	His	Arg	Met	
			275					280					285			
CITIZ	CT 70 70	0331	70 1/	0.50												

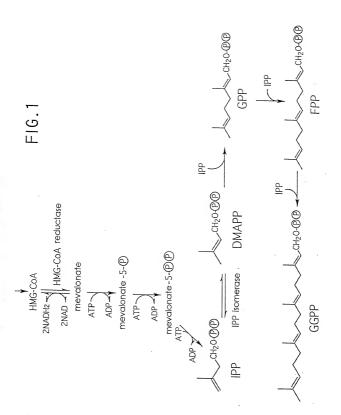
CTA TAA CAACG 1058 Leu \*\*\*

#### CLAIMS

- 1. A DNA chain having characteristic of increasing carotenoid production, and containing the nucleotide sequence which encodes the polypeptide having the amino acid sequence substantially described in SEQUENCE ID No. 1, or a DNA chain which hybridizes with said DNA chain.
- 2. A DNA chain having characteristic of increasing carotenoid production, and containing the nucleotide sequence which encodes the polypeptide having the amino aid sequence substantially described in SEQUENCE ID No. 2, or a DNA chain which hybridizes with said DNA chain.
- 3. A method for producing carotenoid characterized by introducing DNA chain described in one of claim 1 or 2 into carotenoid-producing microorganisms, culturing said transformed microorganism and obtaining higher carotenoid content in the culture broth and cells .
- 4. A method for producing carotenoid characterized by introducing DNA chain containing the nucleotide sequence which encodes the polypeptide having the amino acid sequence substantially described in SEQUENCE ID No. 3, or DNA chain which hybridizes with said DNA chain introducing to carotenoid-producing microorganism, culturing said transformed microorganism and obtaining higher carotenoid content in the culture broth and cells.

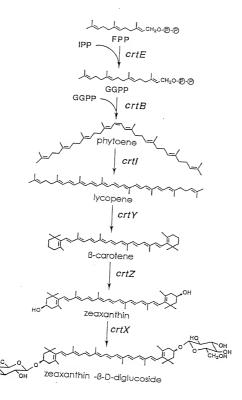
#### ABSTRACT

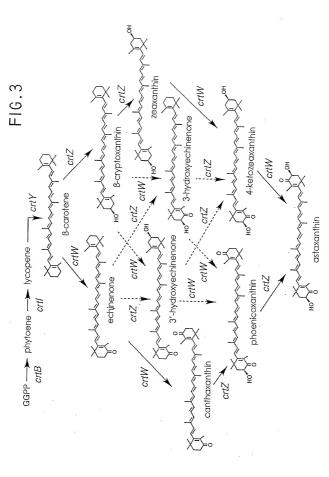
A DNA chain having characteristic of increasing carotenoid production, and containing the nucleotide sequence which encodes the polypeptide having the substantially same amino acid sequence described in SEQUENCE ID No. 1 or 2, or a DNA chain which hybridizes with said DNA chain, and a method for production for carotenoid characterized by introducing said DNA chain into the carotenoid-producing microorganisms, culturing said transformed microorganism and increasing carotenoid content in the culture broth and cells.



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FIG.2





Ą																	
Į.		9			18			27			36			45			54
ATG																	
Met	Ser	Met	Pro	Asn	Ile	Val	Pro	Pro	Ala	Glu	Val	Arg	Thr	Glu	Gly	Leu	
																	18
		63			72			81			90			99			108
						GAG Glu											
Leu	GIU	GIU	Tyr	Asp	GIU	GIU	GIII	val	Arg	Leu	mec	Giu	Giu	ALG	Cys	ire	36
		117			126			135			144			153			162
GTT	AAC		GAC	GAT		GCC	TAT		GAG	GCT		AAA	AAG		TGC	CAC	
Val	Asn	Pro	Asp	Asp	Val	Ala	Tyr	Gly	Glu	Ala	Ser	Lys	Lys	Thr	Cys	His	Leu
			-	-													54
		171			180			189			198			207			216
						CCC											
Met	Ser	Asn	Ile	Asn	Ala	Pro	Lуз	Asp	Leu	Leu	His	Arg	Ala	Phe	Ser	Val	
																	72
		225			234	GGA		243			252	~~.		261	~~~	~~~	270
						GGA G1v											
Leu	Pile	ALG	PLO	ser	nap	GTĀ	ALA	neu	Den	Deu	GIII	ALG	n-g	ALU	nap	014	90
		279			288			297			306			315			324
ATT	ACG		CCT	GGA		TGG	ACC		ACG	TGT	TGC	AGT	CAT	CCT	TTG	AGC	ATC
						Trp											
																	108
		333			342			351			360			369			378
						GAG											
Lys	Gly	Glu	Val	Glu	Glu	Glu	Asn	Gln	Ile	Gly	Val	Arg	Arg	Ala	Ala	Ser	
		387			396			405			414			423			126 432
220	mmc		C3.C	C3.C		GGC	CTC		202	TCC		ACT.	ccc		GAC	TCG	
						Gly											
-2-						2											144
		441			450			459			468			477			486
ACC	TAC	CTC	ACT	AGG	ATA	CAT	TAC	CTC	GCT	CCG	AGT	GAC	GGA	CTC	TGG	GGA	GAA
Thr	Tyr	Leu	Thr	Arg	Ile	His	Tyr	Leu	Ala	Pro	Ser	Asp	Gly	Leu	Trp	Gly	G1u
																	162
		495			504			513			522			531			540
						CTC											
His	Glu	Ile	Asp	Tyr	Ile	Leu	Phe	Ser	Thr	Thr	Pro	Thr	GIU	HIS	Thr	GIĀ	
		549			558			567			576			585			180 594
CCT	330			TOT		ACT	CG		GTC	) CC			GAG		CAG	GCG	
						Thr											
- 20							9	-1-			-10						198

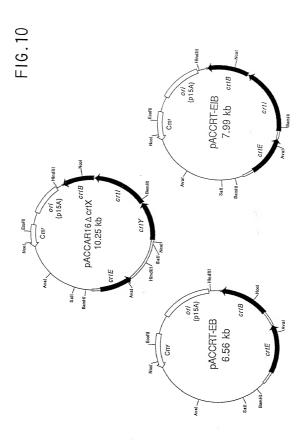
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																	648
TTT	GAG	GAC	GAG	TCT	AAC	TCA	TTT	ACC	CCT	TGG	TTC	AAG	TTG	ATT	GCC	CGA	GAC
Phe	Glu	Asp	Glu	Ser	Asn	Ser	Phe	Thr	Pro	Trp	Phe	Lys	Leu	Ile	Ala	Arg	Asp
																	216
		657			666			675			684			693			702
TTC	CTG	TTT	GGC	TGG	TGG	GAT	CAA	CTT	CTC	GCC	AGA	CGA	AAT	GAA	AAG	GGT	GAG
Phe	Leu	Phe	Gly	Trp	Trp	Asp	Gln	Leu	Leu	Ala	Arg	Arg	Asn	Glu	Lys	Gly	Glu
															_	_	234
		711			720			729			738			747			756
																ATG	
Val	Asp	Ala	Lys	Ser	Leu	Glu	Asp	Leu	Ser	Asp	Asn	Lys	Val	Trp	Lys	Met	***
																251	1
																i	b

C																	
1		9			18			27									
NA TO	CNC		cmr					27			36			45			54
Mat	Gla	Tan	Tan	GCC	Cla	GAC	2000	ACA	GAC	CAT	ATG	AGG	GGT	GCA	AGT	ACC	TGG
*****	. GIII	. Deu	Leu	Ala	GIU	изр	Arg	rnr	Asp	His	Met	Arg	Gly	Ala	Ser	Thr	
		63			72			81			90						18
GCA	GGC		CAG	TCG		Cam	CNC		h mc					99			108
Ala	Glu	Glu	GIn	Ser	Cla	300	Clas	C16	MIG	CTG	AAG	GAC	GAG	TGC	ATC	TTG	GTG
*****	. 013	013	GI.	. ser	GIII	nap	GIU	Leu	met	Leu	гда	Asp	Glu	Суз	Ile	Leu	
		117			126			135			144						36
GAT	GCT		GAC	AAC		ACA	ccc		CTC	300				153			162
Asp	Ala	Asp	Asp	Asn	Tle	Thr	Glu	Hie	17a 1	con	Tura	Tau	CI	TGC	CAC	AAG	TTC
									, a.	361	шуз	neu	GIU	Cys	HIS	rys	
		171			180			189			198			207			216
CTA	CCA	CAT	CAG	CCT	GCA	GGC	CTG		CAC	CGG		TTC	TCT	CTA	TT.C	cmc	210
Leu	Pro	His	Gln	Pro	Ala	Glv	Len	Len	His	Ara	210	Pho	201	Wal.	710	*	111
						2				9	axu	2 116	361	val	rne	Leu	72
		225			234			243			252			261			270
GAC	GAC	CAG	GGG	CGA	CTG	CTG	CTG	CAA	CAG	CGT		CGA	TCA	AAA	ATC	101	770
Asp	Asp	Gln	Gly	Arg	Leu	Leu	Leu	Gln	Gln	Arq	Ala	Arg	Ser	Lvs	Tle	Thr	Pho
												-		-1-			90
		279			288			297			306			315			324
CCC	AGT	GTG	TGG	ACC	AAC	ACC	TGC	TGC	AGC	CAC	CCT	CTA	CAT	GGG	CAG	ACC	CCA
Pro	Ser	Val	Trp	Thr	Asn	Thr	Суз	Суз	Ser	His	Pro	Leu	His	Gly	Gln	Thr	Pro
																	108
		333			342			351			360			369			378
GAT	GAG	GTG	GAC	CAA	CTA	AGC	CAG	GTG	GCC	GAC	GGC	ACA	GTA	CCT	GGC	GCA	AAG
Asp	Glu	Val	Asp	Gln	Leu	Ser	Gln	Val	Ala	Asp	Gly	Thr	Val	Pro	Gly	Ala	Lys
		387															126
CCE	com				396			405	_		414			423			432
219	312	710	ATC	CGC	AAG	TTG	GAG	CAC	GAG	CTG	GGG	ATA	CCA	GCG	CAC	CAG	CTG
*****		ma	116	Arg	Lys	Deu	GIU	nıs	GIU	Leu	GIĀ	ITe	Pro	Ala	His	Gln	
		441			450			459									144
CCG	GCC		ccc	TTT		mmc	cmc		~~		468			477			486
Pro	Ala	Ser	Ala	Phe	2-7	Pho	Tan	mb-	201	7	CAC	TAC	TGC	GCC	GCG	GAC	GTG
					****9	1116	Deu	1111	Arg	Leu	HIS	Tyr	Cys	ALA	Ala	Asp	
		495			504			513			522			531			162
CAG	CCG		GCG	ACA		TCA	GCA		TCC	ccc		C3.C	C22	231	~~~		540
Gln	Pro	Ala	Ala	Thr	Gln	Ser	Ala	Len	Trn	GIV	GIU	uio	Clu	MIG	GAC	TAC	ATC
									5	2-7	Jau	.113	314	vie c	Asp	TYT	
		549			558			567			576			585			180 594
TTA	TTC	ATC	CGG	GCC	AAC	GTC	ACC		GCG	ccc		CCT	GAC	GAG	GT G	GAC	CNA
Leu	Phe	Ile	Arg	Ala	Asn	Val	Thr	Leu	Ala	Pro	Asn	Pro	Asp	Glu	Val	Aan	Glu
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																	-50

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GTC	AGG	TAC	GTG	ACG	CAG	GAG	GAG	CTG	CGG	CAG	ATG	ATG	CAG	CCG	GAC	AAT	GGG
Va1	Arg	Tyr	Val	Thr	Gln	G1u	Glu	Leu	Arg	Gln	Met	Met	Gln	Pro	Азр	Asn	Gly
																	216
		657			666			675			684			693			702
TTG	CAA	TGG	TCG	CCG	TGG	TTT	CGC	ATC	ATC	GCC	GCG	CGC	TTC	CTT	GAG	CGC	TGG
Leu	G1n	Trp	Ser	Pro	Trp	Phe	Arg	Ile	Ile	Ala	Ala	Arg	Phe	Leu	G1u	Arg	Trp
																	234
		711			720			729			738			747			756
TGG	GCT	GAC	CTA	GAC	GCG	GCC	CTG	AAC	ACT	GAC	AAA	CAC	GAG	GAT	TGG	GGA	ACG
Trp	Ala	Asp	Leu	Asp	A1a	Ala	Leu	Asn	Thr	Asp	Lys	His	G1u	Asp	Trp	G1y	Thr
																	252
		765			774		780										
GTG	CAT	CAC	ATC	AAC	GAA	GCG	TGA										
Val	His	His	Ile	Asn	Glu	Ala	***										
						259	ſ										
						r	i										

$\mathbf{E}$																	
1		9			18			27			36			45			54
ATG	ACT		GAC	AAC	AAT	AGT	ATG	ccc	CAT	GGT	GCA	GTA	TCT	AGT	TAC	GCC	AAA
												Val					
			-														18
		63			72			81			90			99			108
												GAG					
Leu	Val	Gln	Asn	Gln	Thr	Pro	Glu	Asp	Ile	Leu	Glu	Glu	Phe	Pro	Glu	Ile	
																	36
		117			126			135			144			153			162
												ACG					
Pro	Leu	Gln	Gln	Arg	Pro	neA	Thr	Arg	Ser	Ser	Glu	Thr	Ser	Asn	Asp	Glu	
																	54
		171			180			189			198			207			216
												ATT					
Gly	Glu	Thr	Cys	Phe	Ser	GTĀ	His	Asp	GLu	GLu	GIn	Ile	rās	ren	met	ASI	72
					234			243			252			261			270
	m o m	225	omm	mm.c		mcc	C3.C		2 200	com		GGT	ccc		300	220	
												Gly					
ASII	cys	TIE	Val	Leu	Азр	TIP	Д	пор	non	ALG	TTG	013	nzu	013		шдо	90
		279			288			297			306			315			324
GTT	TGT		тта	ATG		AAT	ATT		AAG	GGT		CTA	CAT		GCA	TTC	
												Leu					
	-2-								-	-				-			108
		333			342			351			360			369			378
GTC	TTT	ATT	TTC	AAT	GAA	CAA	GGT	GAA	TTA	CTT	TTA	CAA	CAA	AGA	GCC	ACT	GAA
Val	Phe	Ile	Phe	Asn	Glu	Gln	Gly	Glu	Leu	Leu	Leu	Gln	Gln	Arg	Ala	Thr	Glu
																	126
		387			396			405			414			423			432
												TGC					
Lys	Ile	Thr	Phe	Pro	Asp	Leu	Trp	Thr	Asn	Thr	Cys	Cys	Ser	His	Pro	Leu	
																	144
		441			450			459			468			477			486
												GAT					
Ile	Asp	Asp	Glu	Leu	Gly	Leu	Lys	Gly	Lys	Leu	Asp	Asp	Lys	Ile	Lys	GLY	
																	162
		495			504			513			522		3 000	531		C 3 m	540
												GGT					
ITe	Thr	Ala	Ala	val	Arg	rys	Leu	Asp	HIS	GIU	Leu	Gly	тте	PEC	GIU	Asp	180
																	180

		549			558			567			576			585			594
ACT	AAG	ACA	AGG	GGT	AAG	TTT	CAC	TTT	TTA	AAC	AGA	ATC	CAT	TAC	ATG	GCA	CCA
Thr	Lys	Thr	Arg	Gly	Lys	Phe	His	Phe	Leu	Asn	Arg	Ile	His	Tyr	Met	Ala	Pro
																	198
		603			612			621			630			639			648
AGC	AAT	GAA	CCA	TGG	GGT	GAA	CAT	GAA	ATT	GAT	TAC	ATC	CTA	TTT	TAT	AAG	ATC
Ser	Asn	Glu	Pro	Trp	Gly	Glu	His	Glu	Ile	Asp	Tyr	Ile	Leu	Phe	Tyr	Lys	Ile
																	216
		657			666			675			684			693			702
				AAC													
Asn	Ala	Lys	Glu	Asn	Leu	Thr	Val	Asn	Pro	Asn	Val	Asn	Glu	Val	Arg	Asp	
																	234
		711			720			729			738			747			756
				CCA													
Lys	Trp	Val	Ser	Pro	Asn	Asp	Leu	Lys	Thr	Met	Pne	Ala	Asp	Pro	Ser	Tyr	
								~~~									252
		765			774			783			792			801			810
				TTT													
Pne	Thr	Pro	Trp	Phe	гла	TTE	TTE	cya	GIU	Asn	Tyr	Leu	Pue	ASI	Trp	Trp	270
		819			828			837			846			855			864
CDB	mm a		CNC	CTT		CAA	CTC.		7.70	CAC		CNN	) TT		202	n mc	
																	Leu.
GLII	Deu	пор	пар	пец	362	Gru	val	GLU	6511	nap	arg	GLII	116	1123	ALG	Mec	288
867																	~~~
																	-
TAA																	F







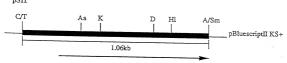
Phaffia rhodozyma IPP isomerase

#### pHP11



Haematococcus pluvialis IPP isomerase

#### pSI1



Saccharomyces cerevisiae IPP isomerase

Aa: AatII, A: AccII, B:BssHII, D:DraI, Hi:HincII, H:HpaI, K:KpnI, M:MluI, N:NotI, P:PstI, Sa:SacI, S:SalI, Sp:SphI, X:XbaI

FIG.12

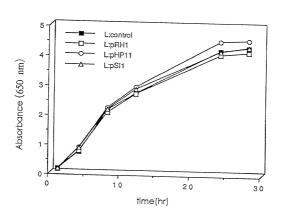


FIG.13

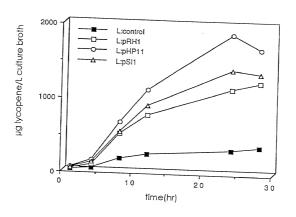


FIG.14

E.coli ·	µg carotene/g dry weig	ght production
L: control	228	1
L: pRH1	825	3.6
L: pHP11	1029	4.5
L: pSI1	859	3.8
3: control	488	1
3: pRH1	709	1.5
P: control	246	1
P: pRH1	413	1.7
P: pHP11	504	2.1

Attorney's Docket No.:		
------------------------	--	--

### DECLARATION, POWER OF ATTORNEY AND PETITION

I (We), the undersigned inventor(s), hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I (We) believe that I am (we are) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A DNA chain useful for increasing production of carotenoids

the specification of which		
☐ is attached hereto.		
□ was filed on		as
Application Serial No.		
and amended on		
was filed as PCT international application		
Number <u>PCT/JP96/00574</u>		
on March 8, 1996		.,
and was amended under PCT Article 19		
on	(if applicable).	

I (We) hereby state that I (We) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; that I (We) do not know and do not believe that this invention was ever known or used before my invention or discovery thereof, or patented or described in any printed publication in any country before my invention or discovery thereof, or more than one year prior to this application, or in public use or on sale in the United States for more than one year prior to this application; that this invention or discovery has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months before this application.

27	Norihiko MISAWA	Residence: Yokohama-shi, Kanagawa
ZW.	NAME OF SECOND JOINT INVENTOR	Japan JPX
	northiko nisawa	Citizen of: Japan
	Signature of Inventor	Post Office Address: <u>c/o Kirin Beer</u> Kabushiki Kaisha, Kiban Gijutsu
	20 1006	Kenkyusho, 13-5, Fukuura 1-chome,
	October 30, 1996	Kanazawa-ku, Yokohama-shi, Kanagawa
	Date	236 Japan
_	Keiji KONDO	Residence: Yokohama-shi, Kanagawa
30	NAME OF THIRD JOINT INVENTOR	Japan J-X
	282+1	
	Josep Jano	Citizen of: <u>Japan</u>
	Signature of Inventor	Post Office Address: c/o Kirin Beer
		Kabushiki Kaisha, Kiban Gijutsu
	October 30, 1996	Kenkyusho, 13-5, Fukuura 1-chome,
	Date	Kanazawa-ku, Yokohama-shi, Kanagawa
		236 Japan
		Residence:
	NAME OF FOURTH JOINT INVENTOR	
		Citizen of:
	Signature of Inventor	Post Office Address:
	Date	

Application Serial No.	Filing Date	patented, abandoned)

Status (pending,

And I (We) hereby appoint: Stephen A. Bent, Registration No. 29,768: David A. Blumenthal, Registration No. 26,257; John J. Feldhaus, Registration No. 28,822; Donald D. Jeffery, Registration No. 19,980; Peter G. Mack, Registration No. 26,001; Brian J. McNamara, Registration No. 32,789; Sybil Meloy, Registration No. 22,749; Colin G. Sandercock, Registration No. 31,298; Bernhard D. Saee, Registration No. 28,665; Richard L. Schwaab, Registration No. 25,479; and Arthur Schwartz, Registration No. 22,115. I(We) hereby request that all correspondence regarding this application be sent to the firm of FOLEY & LARDNER whose Post office address is: Washington Harbour, Suite 500, 3000 K Street, N.W., Washington, DC 20007-5109 U.S.A.

I (We) declare further that all statements made herein of my (our) knowledge are true and that all statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

5	Susumu KA JIWARA NAME OF FIRST SOLE INVENTOR	Residence: <u>Setagaya-ku, Tokyo</u> Japan JCX
	Signature of Inventor	Citizen of: Japan Post Office Address: 11-9, Okusawa 5-chome, Setagaya-ku, Tokyo 158
	October 30, 1996	Japan
	Date	

I (We) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

I (We) hereby claim foreign priority benefits under Section 119(a)-(d) of Title 35 United States Code, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

		Priority			
Application No.	Country	Filing date clain	ned		
51234/1995	Japan	March 10, 1995	■ Yes	□ No	
			☐ Yes	□ No	
			☐ Yes	□ No	
			□ Yes	□ No	
any United States	application(s)	listed below.			
(Application Num	iber)	(Filing Date)			
(Application Num	nber)	(Filing Date)			

of

I (We) hereby claim the benefit under Section 120 of Title 35 United States Code, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Section 112 of Title 35 United States Code, I (We) acknowledge the duty to disclose material information as defined in Section 1.56(a) of Title 37 Code of Federal Regulations, which occurred between the filing date of the prior application and national or PCT international filing date of this application: